

SEXUAL ABUSE AND SEXUAL MISCONDUCT COMPLAINTS

A health care professional is in a position of power over a patient, by virtue of having professional knowledge and skill that a patient must rely on for their well-being. In addition, they have access to patients' personal health information.

Health care professionals must always maintain professional boundaries with their patients. They are prohibited from engaging in any form of sexual abuse or sexual misconduct as defined by law in the Health Professions Act with a patient.

What is Sexual Abuse and Sexual Misconduct

Sexual Abuse is defined in the Health Professions Act, and “*means the threatened, attempted or actual conduct of a **regulated member** towards a patient that is of a sexual nature and includes any of the following conduct:*

- a) Sexual intercourse between a regulated member and a patient of that regulated member;*
- b) Genital to genital, genital to anal, oral to genital or oral to anal contact between a regulated member and a patient of that regulated member;*
- c) Masturbation of a regulated member by, or in the presence of, a patient of that regulated member;*
- d) Masturbation of a regulated member’s patient by that regulated member;*
- e) Encouraging a regulated member’s patient to masturbate in the presence of that regulated member;*
- f) Touching of a sexual nature of a client’s genitals, anus, breasts or buttocks by a regulated member.”*

Sexual Misconduct as defined in the Health Professions Act, “*means any incident or repeated incidents of objectionable or unwelcome conduct, behaviour or remarks of a sexual nature by a regulated member towards a patient that the regulated member knows or ought reasonably to know will or would cause offence or humiliation to the patient or adversely affect the patient’s health and well-being but does not include sexual abuse.*”

Who is a patient?

Each College that is responsible for the regulation of health profession, in Alberta, must define who constitutes a “patient” in their Standards of Practice. The College of Dental Technologists of Alberta has defined a patient as:

- a) A “patient” is defined as an individual including a spouse or adult interdependent partner, awaiting or receiving oral health care services and or treatment where the Registered Dental Technologist/Technician knew or reasonably ought to have known, that they were providing care to the individual and satisfies any of the following conditions listed below:
- I. The Registered Dental Technologist/Technician has charged or received payment from the individual or a third party on behalf of the individual.
 - II. The Registered Dental Technologist/Technician has contributed to a health record or file for the individual.
 - III. The individual has consented to oral health care services and or treatment by the Registered Dental Technologist/Technician

b) Registered Dental Technologists/Technicians may provide oral health care services and or treatment to a spouse or interdependent partner provided the person satisfies the definition of patient in indicator “a”.

Note: If the health care provider is not a member of a regulated profession, they are not subject to the authority of any regulatory college. Should you have a complaint or concern about their conduct or the care they provided, please contact the employer of the unregulated provider and/or the police.

Do you think a health care professional may have violated a boundary or otherwise engaged in sexual misconduct or abuse?

Did your Dental Technologist or Technician do something to make you feel uncomfortable? Maybe they touched you in a way that was not medically necessary or appropriate or perhaps they said something sexually suggestive. If this is the case, please contact the College of Dental Technologists of Alberta to discuss your concerns.

We recognize that coming forward with a complaint about sexual abuse or misconduct can be very difficult. If you believe your health care professional may have crossed a sexual boundary, we urge you to contact us at **780-469-0615**.

Complaints of a sexual nature may involve but are not limited to the following:

- **Privacy and respect:** This could include a health care professional not providing enough privacy while the patient is putting on a gown or getting dressed after an examination.
- **Inappropriate comments or gestures:** This could include saying something sexually suggestive or seductive to you, commenting unnecessarily about sexual relationships or sexual orientation, making sexually insulting or offensive comments or jokes, or giving unwanted attention.

- **Unnecessary or improper physical examinations:** This could mean touching without your permission or explanation, or conducting a physical examination in a sexual rather than a medical way.
- **Sexual contact or assault:** This encompasses everything from inappropriate touching to sexual assault. It also includes any sexual contact between a health care professional and patient that would otherwise be considered consensual.

If you feel that a health care professional has engaged in sexual abuse or misconduct, please contact the appropriate Alberta College or association.

Why report?

Coming forward about a sexually inappropriate encounter you've experienced with a health care professional can be incredibly difficult and there are many reasons why you may choose not to do so. There are, however, good reasons for reporting:

- **Public protection:** Incidents of sexual abuse or misconduct are often not isolated. By coming forward, you could help us act to ensure that what happened to you does not happen to someone else.
- **Awareness:** The regulatory body won't otherwise have knowledge of the conduct. We rely on individuals to make us aware when things aren't right. We can only learn about sexual abuse or misconduct from people who make complaints.
- **Your own sense of closure:** If you've been the victim of sexual abuse or misconduct by a health care professional, knowing that there is an investigation and potential consequences may play a role in your healing process.

Therapy & Counselling Funding

There are a variety of situations in which you may be eligible for funding for counselling or therapy. In fact, filing a complaint with the College alleging a health care professional has sexually abused you while you were a patient is one of the eligibility criteria for receiving funding.

For access to support services, please contact the Association of Alberta Sexual Assault Services:

<https://aasas.ca>

You can locate your nearest sexual assault center:

<https://aasas.ca/get-help/>

Complaint Process

We recognize that coming forward with a complaint about sexual abuse or misconduct can be very difficult. When you call for assistance or to make a complaint, you will speak to the Registrar/Executive Director who will refer you to the Complaints Director. These individuals

have specific training in the area of sexual abuse and misconduct and are familiar with the College's complaint process. They will give you an idea of what to expect. They will not take statements or conduct investigations. You can speak to the College on the phone by calling **780-469-0615**.

During your initial conversation, you can choose to remain anonymous, use an alias, or arrange to meet in person. If you then decide to make a formal complaint, it must be submitted in writing and signed.

When your complaint is received, the Complaints Director may conduct or appoint an investigator to conduct an investigation. The investigator will contact you to discuss your concerns and obtain additional information. If you prefer, you may be able to meet in person. The investigator will ask you to explain what happened as clearly and in as much detail as you can possibly provide. You will be asked to sign a consent form so that they can obtain your Dental Health records.

Legislation requires us to notify the health care professional of your complaint, and the health care professional is given the opportunity to respond to it.

With your consent other people with information about the incident or documents related to the complaint such as hospital, Alberta Health Care or pharmaceutical records may be gathered as part of the investigation.

Your complaint is handled with the utmost seriousness, and all complaints will be fully investigated. When the investigation is complete, all the materials gathered by the investigator are given to the Complaints Director of our College who reviews the information and decides what should happen.

The Complaints Director may decide to refer the concerns about the health care professional to the Hearing Tribunal or may determine no further action is needed if the conduct or care was appropriate.

What happens if my complaint is referred to the Hearing Tribunal?

Your complaint may be referred to the Hearing Tribunal for a hearing. Hearings at the College are much like proceedings in a court of law. If the Complaints Director refers your complaint to the Hearing Tribunal, the College will present evidence before a panel consisting of regulated health care professionals and members of the public whose role is like that of a jury. They will hear the evidence presented by both parties and make a ruling based on that evidence.

You may be asked to testify at the hearing. You are encouraged to bring someone with you for support. If you must testify, you may be questioned by the legal representatives for the College and the health care professional.

If the Hearing Tribunal finds the allegations of sexual abuse are proven, the health professional's registration will be cancelled, and they will not be allowed to practice for life. If the Hearing

Tribunal finds allegations of sexual misconduct proven, the health professional will be suspended, and timelines imposed will depend on the circumstances of the case.

The decisions of the Hearing Tribunal are subject to an appeal process whereby the investigated person or the complaints director, on behalf of the College may appeal the hearing tribunal's decision.

Hearings are open to the public and the media may attend unless the hearing tribunal orders the hearing be held in private or an application is submitted for the hearing to be held in private. The media can publish the name of the health care professional, but in cases involving misconduct of a sexual nature the Hearing Tribunal is required, by law, to order a publication ban on information that could identify you, the complainant, if you request such an order.

WHO TO CONTACT:

If you think you have experienced sexual abuse at the hands of an Alberta regulated health care professional, we urge you to contact the appropriate Alberta College or association.

COLLEGES AND ASSOCIATIONS:

Acupuncturists – [College and Association of Acupuncturists of Alberta](#)
Audiologists – [Alberta College of Speech-Language Pathologists and Audiologists](#)
Chiropractors – [Alberta College and Association of Chiropractors](#)
Combined Laboratory and X-ray Technologists – [Alberta College of Combined Laboratory and X-Ray Technologists](#)
Dental Assistants – [College of Alberta Dental Assistants](#)
Dental Hygienists – [College of Registered Dental Hygienists of Alberta](#)
Dental Technologists – [College of Dental Technologists of Alberta](#)
Dentists – [Alberta Dental Association and College](#)
Denturists – [College of Alberta Denturists](#)
Dietitians – [College of Dietitians of Alberta](#)
Hearing Aid Practitioners – [College of Hearing Aid Practitioners of Alberta](#)
Licensed Practical Nurses – [College of Licensed Practical Nurses of Alberta](#)
Medical Diagnostic and Therapeutic Technologists – [Alberta College of Medical Diagnostic and Therapeutic Technologists](#)
Medical Laboratory Technologists – [College of Medical Laboratory Technologists of Alberta](#)
Midwives – [College of Midwives of Alberta](#)
Naturopaths – [College of Naturopathic Doctors of Alberta](#)
Occupational Therapists – [Alberta College of Occupational Therapists](#)
Opticians – [College of Opticians of Alberta](#)
Optometrists – [Alberta College of Optometrists](#)
Paramedics – [Alberta College of Paramedics](#)
Pharmacists – [Alberta College of Pharmacy](#)
Pharmacy Technicians – [Alberta College of Pharmacy](#)
Physiotherapists – [Physiotherapy Alberta College + Association](#)
Physicians, Surgeons and Osteopaths – [College of Physicians and Surgeons of Alberta](#)
Podiatrists – [College of Podiatric Physicians of Alberta](#)
Psychiatric Nurses – [College of Registered Psychiatric Nurses of Alberta](#)
Psychologists – [College of Alberta Psychologists](#)
Registered Nurses – [College and Association of Registered Nurses of Alberta](#)
Respiratory Therapists – [College and Association of Respiratory Therapists of Alberta](#)
Social Workers – [Alberta College of Social Workers](#)
Speech-Language Pathologists – [Alberta College of Speech-Language Pathologists and Audiologists](#)